



Charity number 1197599

Managing Concerns about Adult Volunteers Policy for Brereton Big Local CIO

Manage the Risks

Protecting people and safeguarding responsibilities should be a governance priority for all charities. It is a fundamental part of operating as a charity for the public benefit.

As part of fulfilling your trustee duties, whether working online or in person, you must take reasonable steps to protect from harm people who encounter your charity.

This includes:

- people who benefit from your charity's work
- staff
- volunteers
- other people who encounter your charity through its work

The Charity Commission will hold trustees to account if things go wrong and will check that trustees followed this guidance and the law. Trustees are expected to take responsibility for putting things right.

The Commission will refer concerns to relevant safeguarding agencies where needed to take further action as it is not a nominated body with the power to implement safeguarding legislation.

Trustees should promote an open and positive culture and ensure all involved feel able to report concerns, confident that they will be heard and responded to.

We expect all trustees to make sure their charity:

- has appropriate policies and procedures in place, which are followed by all trustees, volunteers, and beneficiaries.
- checks that people are suitable to act in their roles
- knows how to spot and handle concerns in a full and open manner
- has a clear system of referring or reporting to relevant agencies as soon as concerns are suspected or identified
- sets out risks and how they will be managed in a risk register which is regularly reviewed
- follows statutory guidance, good practice guidance and legislation relevant to their charity: this guidance links to the main sources of information
- is quick to respond to concerns and carry out appropriate investigations
- does not ignore harm or downplays failures
- has a balanced trustee board and does not let one trustee dominate its work – trustees should work together
- makes sure protecting people from harm is central to its culture
- has enough resources, including trained staff/volunteers/trustees for safeguarding and protecting people
- conducts periodic reviews of safeguarding policies, procedures, and practice.

Read NCVO's safeguarding resource for advice on how to get started with safeguarding. Read the Charity Governance Code for best practice advice including on safeguarding.



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Read Bond's 'Good governance for safeguarding' for support on developing good practice on governance.

If you work with children or adults at risk, there are more safeguarding legal requirements. You must check whether these requirements apply to your charity. If they do, you must work within them.

Types of Risks and Harm

Harm and risks you must be alert to, whether online or in person, include:

- sexual harassment, abuse and exploitation
- criminal exploitation
- a charity's culture, which may allow poor behaviour and poor accountability
- people abusing a position of trust they hold within a charity
- bullying or harassment
- health and safety
- commercial exploitation
- cyber abuse
- discrimination on any of the grounds in the Equality Act 2010
- people targeting your charity
- data breaches, including those under General Data Protection Regulations (GDPR)
- negligent treatment
- domestic abuse
- self-neglect
- physical or emotional abuse
- extremism and radicalisation
- forced marriage
- modern slavery
- human trafficking
- female genital mutilation
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Policies, procedures, and practices you need to have

Your charity's policies and procedures for protecting people and safeguarding should be:

- put into practice
- responsive to change
- reviewed as necessary, always following a serious incident and at least once a year
- available to the public
- compliant with all relevant legislation, noting this can vary depending on who you work with
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Make all trustees, staff, volunteers, partners, and beneficiaries aware of your policies. They all need to know how to apply them.

In your policies make clear how you will:

- protect people from harm
- make sure people can raise safeguarding concerns
- handle allegations or incidents
- respond, including reporting to the relevant authorities



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The amount of detail in your policies depends on what your charity does, where it works, whether it operates in person or online and the level of risk.

Use guidance to help with your policies and procedures, including the links to specific sources of support on this page. Take expert or professional advice if you need it.

Code of conduct

If you have staff or volunteers, you must have a clear code of conduct which sets out:

- your charity's culture and values
- how people in your charity should behave

Read the Charity Governance Code.

Read NCVO's **Charity Ethical Principles** for help with policies on recognising and resolving ethical issues when considering your code of conduct.

Other policies you need to have

You also need to make sure your charity has:

- suitable health and safety arrangements in place
- first aid, fire safety and digital safety policies that everyone understands
- welfare, discipline, and whistleblowing policies for staff if you have them
- a complaints process for users and others with concerns
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Checking your charity's policies, procedures, and practice

Trustees must be assured that all policies, procedures, and practice are checked and challenged to ensure they're fit for purpose. You must make sure your charity:

- works within all relevant statutory guidance
- keeps accurate records
- stays aware of current affairs, trends and themes and how these can influence your policies and practices.
- complies with its policies and procedures, as well as with good practice and legislation
- updates policies and procedures to reflect changes to statutory requirements, good practice and current issues.
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Every trustee should have clear oversight of how safeguarding and protecting people from harm are managed within their charity. This means you need to monitor your performance, not just using statistics, but with supporting information, such as qualitative reports. This will help you to understand common themes, identify risks and gaps so you can ensure they are addressed.

If you change the way you work, such as working in a new area or in a different way, you should:

- review your current policies and make sure they're suitable
- consider whether any extra policies are needed to cover any new situations or risks
- record these discussions and decisions as part of your risk management procedures

Trustees can use several things to help with their checking and assurance, including:



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- recording the risks faced by your charity and how these are managed
- speaking to people in your charity and beneficiaries to make sure they understand how to raise concerns and get feedback on past experiences
- carrying out checks on any sites your charity may work in and seeing any necessary paperwork
- working with statutory agencies and partners
- setting training plans for trustees, staff and volunteers on safeguarding and protecting people from harm
- recording any potential conflicts of interest at any level
- having a standing agenda item on safeguarding and protecting people from harm at meetings
- reviewing a sample of past concerns to identify any lessons to be learnt and make sure they were handled appropriately
- organising external reviews or inspections

Get checks on trustees, staff, and volunteers.

You must make sure that trustees, staff, and volunteers are suitable and legally able to act in their positions. This includes people from or working overseas.

You may need to get:

- criminal records checks
- references and checks on gaps in work history
- confirmation that staff can work in the UK
- health checks
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Disclosure and Barring Service (DBS) – criminal records checks

You should consider whether to use DBS checks as part of your wide range of checks on trustees, staff and volunteers. They should be used alongside references and interviews to give you a broad and informed view to manage the risk of abuse or harm. You should review what checks are needed as your charity evolves or individual roles change.

Many posts are eligible for standard or enhanced level DBS checks, such as those working:

- with children or adults at risk in certain circumstances
- in accountancy/finance
- in the legal profession
- with animals, although in limited circumstances

Trustees should risk assess all roles considering the working environment to determine if they are eligible for a check and if so, at what level.

Always get a standard, enhanced, or enhanced with barred list check from the DBS when a role is eligible for one.

Not all roles working with children or adults at risk are eligible for a standard or enhanced check. You should get a basic check if your risk assessment determines it's appropriate.

Find out what checks are available from the [Disclosure and Barring Service \(DBS\)](#).

Use the DBS eligibility checker and guidance leaflets to decide what checks to make.



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If you ask about criminal records you must have a policy in place that sets out a lawful basis and condition of processing this information, to comply with the General Data Protection Regulations (GDPR).

A charity that uses information from the DBS must also have a policy on the recruitment of ex-offenders, in order to comply with the DBS Code of Practice. The DBS has guidance on this.

Consider asking DBS applicants to register with the Update Service or consider carrying out further DBS checks on a regular basis.

NACRO has guidance on dealing with DBS checks and criminal record risk assessments.

People from overseas

It's a different process to get checks for trustees, staff or volunteers from overseas. Read guidance on getting checks for people from overseas.

You can join the Misconduct Disclosure Scheme to get extra checks on international staff.

Sending workers overseas

Where you cannot get a DBS check for someone going to work overseas, they may be able to get an International Child Protection certificate.

Automatic disqualification

Do not appoint anyone who is disqualified as a trustee or to a senior manager position (at chief executive or finance director level).

Guidance on disqualification and checks to make.

Protect volunteers and staff

If your charity has volunteers or staff, you need to protect them from harm.

Have clear policies and procedures on:

- bullying and harassment
- whistleblowing

Read ACEVO's report on workplace bullying in charities. Section 7 of the report explores how a bullying culture can be created and gives six recommendations to create safer systems to combat this.

You need to have adequate insurance which covers the individuals and the activities involved.

Charities and insurance.

Safeguarding children or adults at risk

If your charity works with children or adults at risk, either online or in person, you should:



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- establish appropriate safeguarding policies and procedures that all trustees, staff and volunteers follow, which fit with the policies and procedures of your local authority safeguarding partnership or safeguarding children or adults board.
- make sure all staff and volunteers receive regular training on child protection or working with adults at risk
- appoint a safeguarding lead to work with your local authority safeguarding partnerships or boards and/or create a plan for responding to concerns overseas
- manage concerns, complaints, whistleblowing, and allegations relating to child protection or adults at risk effectively.
- have clear policies when DBS checks are required, how you assess the level of check needed and how you handle the information

You must follow relevant legislation and guidance.

Identify your local authority safeguarding children or adults' partnership or board. They:

- coordinate safeguarding and promote the welfare of children or adults at risk in the area
- publish policies and procedures for safeguarding which you must follow

You can find your local authority partnership or board online.

Safeguarding children

Safeguarding children duties apply to any charity working with, or encountering, anyone under the age of 18.

Use resources and follow standards from the NSPCC for safeguarding children.

Safeguarding children means to:

- protect children from abuse and maltreatment
- prevent harm to children's health or development
- ensure children grow up with the provision of safe and effective care
- take action to enable all children and young people to have the best outcomes

In England follow [Working Together to Safeguard Children 2018](#)

In Wales follow [Wales Safeguarding Procedures](#)

Safeguarding adults at risk

Safeguarding adults at risk means protecting their right to live in safety and free from abuse and neglect. Your charity may have trustees, staff, volunteers, beneficiaries, or other connections who are classed as adults at risk.

Safeguarding duties for adults at risk apply to any charity working with anyone aged 18 or over who:

- has needs for care and support (whether the local authority is meeting any of those needs) and
- is experiencing, or is at risk of, abuse or neglect and
- as a result of those care and support needs is unable to protect themselves from either the risk of, or the experience of, abuse or neglect.



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An adult at risk of abuse may:

- have an illness affecting their mental or physical health
- have a learning disability
- suffer from drug or alcohol problems
- be frail

In England follow guidance on the Care Act 2014

Operating online

Operating online carries specific safeguarding risks connected to protecting people from abuse and protecting sensitive information. You must make sure these are managed and reflected in your policies and practices.

- **Content:** does your charity have adequate control over its website and social media accounts? Who can post information and is all content suitable for your charity?
- **Contact:** how do people talk to each other when using your online services and how do you keep users safe? Do people need passwords to access services?
- **Conduct:** how do you monitor what people do, say and share when using your services?

You must be satisfied that your charity identifies and manages risks:

- be confident volunteers, staff and trustees understand how to keep themselves safe online. You could use high privacy settings and password access to meetings to support this
- be sure the online services you provide are suitable for your users. For example, use age restrictions and offer password protection to help keep people safe
- know the services your charity uses and provides are safe and in line with your charity's code of conduct
- protect people's personal data and follow GDPR legislation
- make sure you have permission to display any images on your website or social media accounts. You may need individual or parental permission
- clearly explain how users can report online concerns

There are further resources charities can use for support when working online, such as Internet Matters, Get Safe Online and NSPCC